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PTO/SB/21(08-00)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/760,339
Filing Date	January 20, 2004
First Named Inventor	Hari Babu Sunkara
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	CL 1483 US CNT

Total Number of Pages in This Submission

6

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Forms PTO/SB/08a and PTO/SB/08b</b> <b>Certificate of Mailing</b> <b>Receipt Card</b>
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Steven H. Markowitz, Registration No. 27,095
Signature	
Date	March 31, 2004

### CERTIFICATE OF MAILING

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Serial Number: 10/760,339  
Attorney Docket: CL 1483 US CNT

Transmittal  
Information Disclosure Statement  
Forms PTO/SB/08a and PTO/SB/08b

Receipt Card



-+  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Hari Babu Sunkara et al.

Group Art Unit: Unknown

Appl. No.: 10/760,339

Examiner: Unknown

Filing Date: January 20, 2004

Attorney Docket No.: CL 1483 US CNT

For: CONTINUOUS PROCESS FOR THE PREPARATION OF  
POLYTRIMETHYLENE ETHER GLYCOL

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Forms PTO/SB08a and PTO/SB08b are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of the cited references were filed in parent application 09/738,689, filed December 15, 2000.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the

examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO/SB/08a and PTO/SB/08b forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

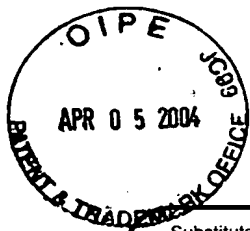
The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 04-1928. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

By: 

Steven H. Markowitz  
Reg. No. 27,095

Date: March 31, 2004  
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

### Complete if Known

Application Number	10/760,339
Filing Date	January 20, 2004
First Named Inventor	Hari Babu Sunkara
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	CL1483 US CNT

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code <sup>2</sup> (if known)				
		U.S.	3,192,184	06/29/1965	Brill et al.	
		U.S.	3,526,484	09/01/1970	Kilpatrick	
		U.S.	5,814,282	09/29/1998	Lohe et al.	
		U.S.	5,677,415	10/14/1997	Bhatia	
		U.S.	2,492,955	08/31/1946	Ballard et al.	
		U.S.	5,523,451	06/04/1996	Rechner et al.	
		U.S.	2,520,733	08/29/1950	Morris et al.	
		U.S.	3,023,192	02/27/1962	Shivers, Jr. et al.	
		U.S.	3,027,352	03/27/1962	Walling et al.	
		U.S.	3,326,985	06/20/1967	Mason	
		U.S.	3,384,623	05/21/1968	Inoue et al.	
		U.S.	3,651,014	03/21/1972	Witsiepe	
		U.S.	3,763,109	10/02/1973	Witsiepe	
		U.S.	4,277,577	07/07/1981	Burg et al.	
		U.S.	4,482,750	11/13/1984	Hetzel et al.	
		U.S.	4,937,314	06/26/1990	Greene	
		U.S.	4,970,295	11/13/1990	Schuchardt	
		U.S.	5,070,178	12/03/1991	Yamada	
		U.S.	5,128,185	07/07/1992	Greene	
		U.S.	5,403,912	04/04/1995	Gunatillake et al.	
		U.S.	5,659,089	08/19/1997	Cai et al.	
		U.S.	5,674,974	10/07/1997	Brearey et al.	
		U.S.	6,235,948	05/22/2001	Sunkara et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		DE	42 36 039		04/28/1994	BASF		<input type="checkbox"/>
		WO	94/09055		04/28/1994	Drysdale et al.		<input type="checkbox"/>
		WO	96/13540		05/09/1996	Drysdale		<input type="checkbox"/>
		WO	99/01496		01/14/1999	Gunatillake et al.		<input type="checkbox"/>
		WO	00/10953		03/02/2000	Sunkara et al.		<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>

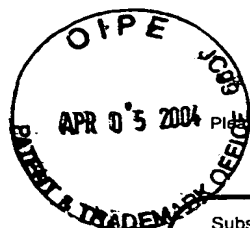
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the tow-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

### Complete if Known

Application Number	10/760,339
Filing Date	January 20, 2004
First Named Inventor	Hari Babu Sunkara
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	CL1483 US CNT

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Search Report from PCT/US 00/34203	
		English Abstract of DE 42 36 039	
		USSN 09/638,356, filed 08/15/2000 (Docket No. CL1481)	
		USSN 09/727,792, filed 12/01/2000 (Docket No. CL1367)	
		S.V. CONJEEVARAM, R.S. BENSON & D.J. LYMAN, "Block Copolyurethanes Based on Polyoxytrimethylene Glycols", Journal of Polymer Science: Polymer Chemistry Edition, 1985, pp 429-444, Vol. 23	
		CESAR CARLOS GONZALEZ, ANTONIO BELLO, JOSE MANUEL PERENA, "Oligomerization of Oxetane and Synthesis of Polyterephthalates Derived from 1,3-Propanediol and 3,3'-Oxydipropanol", Makromol. Chem. 190, No. 6, June 1989, pp 1217-1224	
		PATHIRAJA A. GUNATILLAKE, GORDON F. MEIJS, RONALD C. CHATELIER, DONNA M. MCINTOSH & EZIO RIZZARDO, "Synthesis and Characterization of Hydroxy-Terminated Poly(Alkylene Oxides) by Condensation Polymerization of Diols", Polymer International, 1992, pp 275-283, Vol. 27, No. 3	
		SIMON J. MCCARTHY, GORDON F. MEIJS, PATHIRAJA GUNATILLAKE, "Synthesis, Characterization, and Stability of Poly[(alkylene oxide) ester] Thermoplastic Elastomers", 1997; pp. 1319-1332	
		M. YOUNUS QURESHI & MATTHIAS OCHEL, "Synthesis and Characterization of High Molecular Weight Poly(Trimethylene Oxide)", Pergamon, 1996, pp 691-693, Vol. 32, No. 6	
		MILTON J. RHOAD & PAUL J. FLORY, "The Synthesis of Polymeric Ethers", Contribution No. 169 from The Goodyear Tire and Rubber Co., Research Laboratory, May 1950; pp 2216-2219, Vol. 72	

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